### HATENT COOPERATION TREATY

	FIGHT THE INTERNATIONAL BUREAU
PCT	To:
NOTIFICATION OF ELECTION	United States Patent and Trademark
(DCT B. (2-C1-0)	Office
(PCT Rule 61.2)	(Box PCT) Crystal Plaza 2
	Washington, DC 20231
	ETATS-UNIS D'AMERIQUE
Date of mailing (day/month/year)	]
01 December 1997 (01.12.97)	in its capacity as elected Office
International application No.	Applicant's or agent's file reference
PCT/GB97/01319	HL54557/001/CTV
International filing date (day/month/year)	Priority date (day/month/year)
14 May 1997 (14.05.97)	16 May 1996 (16.05.96)
Applicant	
HAVNS Androw Bigkford	
HAYNS, Andrew, Bickford	
1. The designated Office is hereby notified of its election ma	de:
$\overline{X}$ in the demand filed with the International Prelimina	ry Evamining Authority on:
US November	r 1997 (05.11.97)
in a notice effecting later election filed with the Inter	rnational Puroau on:
we notice checking later election med with the little	national Buleau off.
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2. The election X was	
was not	
Was not	
made before the expiration of 19 months from the priority Rule 32.2(b).	date or, where Rule 32 applies, within the time limit under
nule 32.2(b).	
The International Bureau of WIPO	Authorized officer
34, chemin des Colombettes	Aino Metcalfe

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1211 Geneva 20, Switzerland

## PATENT COOPERATION TREATY 09/202500

## **PCT**

### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

plicant's or agent's file reference	FOR FURTHER ACTION	See Notification of Transmittal of International Preliminary Examination Report (PCT/IPEA/416)
L54557/001/CTV	the stand files date (devimenthéeas)	Priority date (day/month/year)
emational application No.	International filing date (day/month/year)	16/05/1996
CT/GB97/01319	14/05/1997	10/03/130
emational Patent Classification (IPC	C) or national classification and IPC	•
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pplicant	• *	. •
XHOLME RESOURCES LIM	IITED et al.	
		10 Control Francisco Authority
. This international preliminary	examination report has been prepared by	this International Preliminary Examining Authority
and is transmitted to the app	licant according to Article 36.	
		at .
. This REPORT consists of a	total of 5 sheets, including this cover shee	<del></del>
ET This yourse is also soon	ompanied by ANNEXES, i.e., sheets of the	description, claims and/or drawings
This report is also according to the Thirty	impanied by ANNEXES, i.e., sneats of the ided and are the basis for this report and/o	r sheets containing rectifications made injectative less ructions under the PCT).
before this Authority (s	ided and are the basis for this report and/o ee Rule 70.16 and Section 607 of the Admi	mistiative mistiavione situa
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These annexes consist of a	total of a sheets.	
3. This report contains indicati	ons relating to the following items:	
I ⊠ Basis of the r	eport	ļ.
II 🗌 Priority	in a second as marcally in	ventive step and industrial applicability
	hment of opinion with regard to novelty, inv	remitte stop and incommitty.
IV 🔲 Lack of unity	of invention	povotty, inventive step or industrial applicability;
V ⊠ Reasoned st	atement under Article 35(2) With regard to explanations supporting such statement	novelty, inventive step or industrial applicability;
	cts in the international application	: •
	ervations on the international application	
VIII	errangeria ari ma missimum of t	1
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	Date of c	completion of this report
Date of submission of the demand	Date of o	
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05/11/1997		
Name and mailing address of the	IPEA Authoriz	ed officer
	į	( 1 )
European Patent C	Persic	hini, C
Tel. (+49-69) 2399	-0, Tx: 523656 epmu d	na No. (+49-89) 2399-8617
1 D-80298 Munich	-0, Tx: 523656 epmu d	nini, C ne No (+49-89) 2399-8617

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/GB97/01319

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Basis of the repo	ort		-te-the receiving Of
- reconnect to an in	een drawn on the basis of (sub- vitation under Article 14 are ret hey do not contain amendment	stitute sheets which have been furnish lerred to in this report as "originally filed s.);	f" and are not annexed
Description, pag	jes:		
4-20	as originally filed	. •	
1-3	as received on	20/07/1998 with letter of	17/07/1998
China No:			
Claims, No.:	as received on	20/07/1998 with letter of	17/07/1998
		·	
Drawings, shee	ots:		
1-9	as originally filed		
2. The amendmen	its have resulted in the cancella	ition of:	
☐ the descrip	tion, pages:		
☐ the claims.	Nos:		· · · · · · · · · · · · · · · · · · ·
☐ the drawin	gs, sheets:		
3. This report	t has been established as if (so d to go beyond the disclosure a	me of) the amendments had not been s filed (Rule 70.2(c)):	made, since they have
			:
4. Additional obs	ervations, if necessary:		

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/GB97/01319

- V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- 1. Statement

Novelty (N)

Yes:

Claims 4-17

No:

Claims 1-3

Inventive step (IS)

Yes: (

Claims

No:

Claims 1-17

Industrial applicability (IA)

Yes:

Claims 1-17

No:

Claims

2. Citations and explanations

see separate sheet

DE-A-2 358 808 (D1) discloses a filter material (a material which is placed in a container and serves for filtering out contaminants from a fluid which is passed through the container is nothing else than a filter material; see D1, page 6, lines 9-13) comprising a matrix (Chambers English Dictionary: matrix = "that in which anything is embedded", eg a container) in which is dispersed a granular formulation of a material (according to eg claim 1 of D1 the modified cellulose mass is formed into small sized particles ["kleinteilig"]; it does not seem that there is a difference between "small sized particles" and a "granular formulation") comprising a base formed substantially of cellulose fibres onto which is adsorbed a composition comprising one or more aliphatic carboxylic acids having hydrocarbon chains consisting of 8 to 20 carbon atoms (see (D1), claim 1 and p.5, lines 1-5 and Example 16).

Claim 1 thus seems to lack novelty (Art.33(2) PCT).

However, even if a difference could be seen between the small sized particles of D1 and the "granular formulation" of claim 1, this difference would not be based on an inventive step under Art.33(3) PCT.

With regard to the handling and to the fluidic conditions (especially with regard to the pressure drop) the pelletization of filtering materials which are placed in a container and the filter activity of which is due to the adhesion of the components to be filtered (adsorption, absorption) to the surface of this material is usual. It is, therefore, evident for the skilled person to form pellets or granules from such a mass, if it has to be placed as a filtering fixed bed into a container.

- 2. The subject-matter of Independent method claim 10 differs from the teaching of (D1) on account only of the fact that the carboxylic acid is in powder form. In the absence, however, of any surprising effect (the advantages and disadvantages linked with the deposition of the carboxylic acid in dissolved form and in powder form, respectively, are well known in the art and consequently not surprising) attaching to this step, it does not represent an inventive advance over the process of (D1). Claim 11 thus lacks inventive step (Art.33(3) PCT).

  Analogous arguments apply to the subject-matter of independent method (use) claim 15.
- 3. With regard to document (D1) and the general knowledge of the man skilled in the art, the dependent claims do not appear to contain any features which, in combi-

# INTERNATIONAL PRELIMINARY International application No. PCT/GB97/01319 EXAMINATION REPORT - SEPARATE SHEET

nation with the features of any claim to which they refer, meet the requirements of the PCT in respect of novelty or inventive step.

## **PCT**

ATENT COOPERATION TREADPORT REC'd 16 DEC 1998

09/202500

#### INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference HL54557/001/CTV	FOR FURTHER ACTION	see Notification o (Form PCT/ISA/	f Transmittal of International Search Report 220) as well as, where applicable, item 5 below.
International application No.	International filing date(	day month year)	(Earliest) Priority Date (day/month/year)
PCT/GB 97/01319	14/05/19	97	16/05/1996
Applicant			
AXHOLME RESOURCES LIMITED	et al.		
This International Search Report has bee according to Article 18. A copy is being to	n prepared by this Internal transmitted to the Internati	ional Searching Aut onal Bureau.	hority and is transmitted to the applicant
This International Search Report consists  [X] It is also accompanied by a cop	y of each prior art docume	sheets. nt cited in this repo	rt.
1. Certain claims were found unsea	rchable (see Box I).		
2. Unity of invention is lacking (se	e Box II).		
3. The international application of international search was carried	ontains disclosure of a <b>nucl</b> e lout on the basis of the sec	eotide and/or amino quence listing	acid sequence listing and the
	d with the international app		
fur:	nished by the applicant sep		
,	matter going beyond	by a statement to the the disclosure in the	ne effect that it did not include international application as filed.
Tra	unscribed by this Authority		
4. With regard to the title, X the	text is approved as submit	ted by the applicant	
the	text has been established b	y this Authority to	read as follows:
5. With regard to the abstract,			
444	text is approved as submi		
Во	text has been established, x III. The applicant may, varch Report, submit comm	vithin one month fro	8.2(b), by this Authority as it appears in om the date of mailing of this International y.
6. The figure of the drawings to be pub	olished with the abstract is:		_
	suggested by the applicant.		$\overline{\mathbf{X}}$ None of the figures.
I <u></u>	cause the applicant failed to		
be-	cause this figure better cha	actenzes the invent	OIL.

A. CLASSIFICATION OF SUBJECT MATTER IPC 6 B01D39/18 B01D39/08

B01D25/26

B01J20/24

According to International Patent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	DE 23 58 808 A (HOECHST AG) 5 June 1975 see page 1 - page 4; claim	1-3,11, 12,14,17
Y	US 4 018 679 A (BOLSING FRIEDRICH) 19 April 1977 see the whole document	1-3,11, 12,14,17
A	WO 91 08037 A (PURIFICATION PROD) 13 June 1991 see page 18 - page 19; claims 1-14 & EP 0 504 214 A cited in the application	1,4-7,13
A	US 3 647 084 A (MARTIN HENRY WOODS) 7 March 1972 see the whole document	8-10,18

Further documents are listed in the continuation of box C.	X Patent family members are listed in annex.
<ul> <li>Special categories of cited documents:</li> <li>'A' document defining the general state of the art which is not considered to be of particular relevance</li> <li>'E' earlier document but published on or after the international filing date</li> <li>'L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</li> <li>'O' document referring to an oral disclosure, use, exhibition or other means</li> <li>'P' document published prior to the international filing date but later than the priority date claimed</li> </ul>	<ul> <li>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</li> <li>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</li> <li>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</li> <li>"&amp;" document member of the same patent family</li> </ul>
Date of the actual completion of the international search  26 August 1997	Date of mailing of the international search report
Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2  NL - 2280 HV Rijswijk  Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl,  Fax: (+ 31-70) 340-3016	Authorized officer  Cubas Alcaraz, J

	on) DOCUMENTS CONSIDERED TO BE RELEVANT  Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
acegory	US 5 156 686 A (VAN SLYKE DONALD C) 20 October 1992	1
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#### INTERNATIONAL SEARCH REPORT

In amation on patent family members

mational Application No PCT/GB 97/01319

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
DE 2358808 A	05-06-75	AT 335376 B AU 7554874 A BE 822567 A BR 7409850 A CH 602493 A FR 2252297 A JP 50084482 A NL 7414934 A SE 7414649 A ZA 7407503 A	10-03-77 20-05-76 26-05-75 25-05-76 31-07-78 20-06-75 08-07-75 28-05-75 27-05-75 28-01-76
US 4018679 A	19-04-77	DE 2328777 A DE 2328778 A AT 335375 B BE 815960 A CA 1041127 A CH 606380 A FR 2232517 A GB 1477209 A JP 1167626 C JP 50032075 A JP 58002000 B NL 7407581 A,B, SU 913934 A	13-02-75 23-01-75 10-03-77 30-09-74 24-10-78 31-10-78 03-01-75 22-06-77 08-09-83 28-03-75 13-01-83 10-12-74 15-03-82
WO 9108037 A	13-06-91	AT 112176 T CA 2068432 A DE 69013009 D DE 69013009 T EP 0504214 A ES 2065667 T GB 2238802 A,B JP 7010373 B JP 5503030 T KR 9612675 B US 5281437 A	15-10-94 07-06-91 03-11-94 02-02-95 23-09-92 16-02-95 12-06-91 08-02-95 27-05-93 24-09-96 25-01-94
US 3647084 A	07-03-72	CA 929111 A	26-06-73
US 5156686 A	20-10-92	US 5213625 A	25-05-93

### INTERNATIONAL SEARCH REPORT

rmation on patent family members

PCT/GB 97/01319

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5156686 A	11	US 5215596 A US 5234577 A	01-06-93 10-08-93
		00 0E040// N	

### NATIONAL SEARCH REPORT

mational Application No PCT/GB 97/01319

A. CLASSIFICATION OF SUBJECT MATTER IPC 6 \$01039/18 B01039/08

B01D25/26

B01J20/24

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

 $\label{eq:minimum documentation searched} \begin{tabular}{ll} Minimum documentation searched (classification system followed by classification symbols) \\ IPC 6 B01D B01J C09K \\ \end{tabular}$ 

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUM	MENTS CONSIDERED TO BE RELEVANT	
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Υ	DE 23 58 808 A (HOECHST AG) 5 June 1975 see page 1 - page 4; claim	1-3,11, 12,14,17
. Y	US 4 018 679 A (BOLSING FRIEDRICH) 19 April 1977 see the whole document	1-3,11, 12,14,17
A	WO 91 08037 A (PURIFICATION PROD) 13 June 1991 see page 18 - page 19; claims 1-14 & EP 0 504 214 A cited in the application	1,4-7,13
A	US 3 647 084 A (MARTIN HENRY WOODS) 7 March 1972 see the whole document/	8-10,18
	Y , Y A	Y DE 23 58 808 A (HOECHST AG) 5 June 1975  see page 1 - page 4; claim  US 4 018 679 A (BOLSING FRIEDRICH) 19  April 1977  see the whole document  WO 91 08037 A (PURIFICATION PROD) 13 June 1991  see page 18 - page 19; claims 1-14  & EP 0 504 214 A  cited in the application  A US 3 647 084 A (MARTIN HENRY WOODS) 7  March 1972  see the whole document

Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
* Special categories of cited documents:  A* document defining the general state of the art which is not considered to be of particular relevance  E* earlier document but published on or after the international filing date  L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  O* document referring to an oral disclosure, use, exhibition or other means  P* document published prior to the international filing date but later than the priority date claimed	<ul> <li>'T' later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</li> <li>'X' document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</li> <li>'Y' document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</li> <li>'&amp;' document member of the same patent family</li> </ul>
Date of the actual completion of the international search	Date of mailing of the international search report
26 August 1997	08.09.97
Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2  NL - 2280 HV Rijswijk  Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl,  Fax: (+ 31-70) 340-3016	Authorized officer  Cubas Alcaraz, J

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### INTERNATIONAL SEARCH REPORT

emational Application No PCT/GB 97/01319

C (Continua	tion) DOCUMENTS CONSIDERED TO BE RELEVANT	7,45 7.7 4.2.2.3
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A A	US 5 156 686 A (VAN SLYKE DONALD C) 20 October 1992	1
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#### INTENATIONAL SEARCH REPORT

Imormation on patent family members

mational Application No
PCT/GB 97/01319

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
DE 2358808 A	05-06-75	AT 335376 B AU 7554874 A BE 822567 A BR 7409850 A CH 602493 A FR 2252297 A JP 50084482 A NL 7414934 A SE 7414649 A ZA 7407503 A	10-03-77 20-05-76 26-05-75 25-05-76 31-07-78 20-06-75 08-07-75 28-05-75 27-05-75
US 4018679 A	19-04-77	DE 2328777 A DE 2328778 A AT 335375 B BE 815960 A CA 1041127 A CH 606380 A FR 2232517 A GB 1477209 A JP 1167626 C JP 50032075 A JP 58002000 B NL 7407581 A,B, SU 913934 A	13-02-75 23-01-75 10-03-77 30-09-74 24-10-78 31-10-78 03-01-75 22-06-77 08-09-83 28-03-75 13-01-83 10-12-74 15-03-82
WO 9108037 A	13-06-91	AT 112176 T CA 2068432 A DE 69013009 D DE 69013009 T EP 0504214 A ES 2065667 T GB 2238802 A,B JP 7010373 B JP 5503030 T KR 9612675 B US 5281437 A	15-10-94 07-06-91 03-11-94 02-02-95 23-09-92 16-02-95 12-06-91 08-02-95 27-05-93 24-09-96 25-01-94
US 3647084 A	07-03-72	CA 929111 A	26-06-73
US 5156686 A	20-10-92	US 5213625 A	25-05-93

#### INTERNATIONAL SEARCH REPORT

information on patent family members

ernational Application No PCT/GB 97/01319

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5156686 A	<u> </u>	US 5215596 A US 5234577 A	01-06-93 10-08-93

Courtesy copy of the
International Preliminary
Examination Report with annexes
containing specification pages
1-3 and claims 1-17 to be used
in place of original pages 1-3
and the original claims for
examination in this case

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### LIQUID AND GAS PURIFICATION AND FILTRATION

The present invention relates to the removal of organic and other pollutants from liquids and gases, and in particular, but not exclusively, to the removal of such pollutants by a filtration system.

A number of strategies have been developed in the petrochemical industry for dealing with problems such as oil spillage and leakage, particularly at sea. Some methods, for example the use of detergents, simply aim to disperse the oil spillage as quickly as possible before too much damage has been done. It is, however, preferable to remove the oil from the water without allowing it to disperse, since there are many toxic components in the oil which may cause harm to the environment. It is known to provide a granular material based on cellulose, which has oil-absorbing properties, the material being in a form suitable for sprinkling onto an oil spillage. Once the oil has been absorbed, the material is gathered up and may be incinerated.

Oil spillages are not the only environmental problem faced by the petrochemical industry. There are many situations where it is desirable to remove components including organic pollutants (such as hydrocarbons) and heavy metal contaminants from produced water and water run-off before this water is released as effluent.

It is also desirable to remove such pollutants from liquids other than water and also from gases (e.g.

According to a first aspect of the present invention, there is provided a filter material comprising a matrix in which is dispersed a granular formulation of a material comprising a base formed

substantially of cellulose fibres onto which is adsorbed a composition comprising one or more aliphatic carboxylic acids having hydrocarbon chains consisting of 8 to 20 carbon atoms.

In preferred embodiments, the hydrocarbon chains of the one or more carboxylic acids consist of 10 to 18 carbon atoms. Particularly effective carboxylic acids have been found to include stearic acid CH<sub>3</sub>(CH<sub>2</sub>)<sub>16</sub>COOH and palmitic acid CH<sub>2</sub>(CH<sub>3</sub>)<sub>14</sub>COOH.

According to a second aspect of the present invention, there is provided a method of producing a material comprising a base formed substantially of cellulose fibres onto which is adsorbed a composition comprising one or more aliphatic carboxylic acids having hydrocarbon chains consisting of 8 to 20 carbon atoms, wherein one or more cellulosic materials are mixed together with a powdered formulation of the one or more carboxylic acids.

The granular material of the first aspect of the present invention may be formed by mixing together one or more cellulosic materials, for example virgin pulp and wood chips, together with the one or more carboxylic acids in powder form and, optionally, latex. The mixing is preferably undertaken in a hammer mill, in which heat and friction assist the process whereby the carboxylic acid becomes adsorbed onto the cellulose It is thought that the carboxylic acids are adsorbed onto the surface of the cellulose fibres by way of the carboxyl -COOH functional group, either through hydrogen bonding or through the formation of cellulose esters containing an -O-CO-R group formed with the hydroxyl -OH groups on the cellulose rings. However the carboxylic acids are bonded to the cellulose fibres, the result is that the material of the first aspect of the present invention comprises cellulose fibres from which project hydrophobic

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hydrocarbon chains. When the material is applied to a mixture of water and hydrocarbon pollutants, the hydrophobic hydrocarbon tails of the carboxylic acid residues serve to attract the hydrocarbon pollutants to the material and to repel water, thereby providing the required separation. The material, incorporating the hydrocarbon pollutants, can then be gathered up and used as a fuelstock.

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The matrix of the first aspect of the invention may be fabricated from a number of materials, including non-woven fibrous materials, open-cell foam materials or a cotton or viscose gauze. The unloaded matrix advantageously has a density not greater than 0.25gcm<sup>-3</sup>, and preferably from 0.01 to 0.18gcm. A particularly preferred matrix has a thickness of around 3mm and a density in the region of 0.1gcm-3. The granular formulation of the material of the first aspect of the present invention may be incorporated into the matrix by bombardment across a pressure gradient as described in EP 0 504 214, the disclosure of which is hereby incorporated by reference into the present application. By incorporating the material of the first aspect of the present invention into a matrix to form a filter material, the available active surface area is increased so as to aid efficiency. Furthermore, dispersion of the material in the contaminated fluid is reduced because it is held within the matrix. embodiments, webs of the filter matrix are loaded to a density of around 1kgm<sup>-2</sup>; a density of .925kgm<sup>-2</sup> has been found to be particularly effective in certain circumstances. In other embodiments, a density of around 0.5kgm<sup>-2</sup> has been found to be effective, particularly where the web of filter matrix has a thickness in the region of 3 or 4mm.

#### CLAIMS:

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- 1. A filter material comprising a matrix in which is dispersed a granular formulation of a material comprising a base formed substantially of cellulose fibres onto which is adsorbed a composition comprising one or more aliphatic carboxylic acids having hydrocarbon chains consisting of 8 to 20 carbon atoms.
- 2. A material as claimed in claim 1, wherein the one or more aliphatic carboxylic acids have hydrocarbon chains consisting of 10 to 18 carbon atoms.
- 3. A material as claimed in claim 1 or 2, wherein the one or more carboxylic acids are selected from the group comprising stearic acid and palmitic acid.
- 4. A filter material as claimed in claim 1, 2 or 3, wherein the matrix comprises a non-woven fibrous material.
- 5. A filter material as claimed in claim 1, 2 or 3, wherein the matrix comprises an open-cell foam materials.
- 6. A filter material as claimed in claim 1, 2 or 3, wherein the matrix comprises a cotton or viscose gauze.
- 7. A filter column comprising a hollow core upon which is mounted an alternating stack of filter plates and discs of the filter material as claimed in any of claims 1 to 6, wherein the filter plates are adapted to allow passage of fluid from a circumferential region of the filter column to the hollow core by way of the discs of filter material.
- 8. A filter cartridge comprising a hollow core around which is wrapped one or more layers of a filter material as claimed in any one of claims 1 to 6.
- 9. A filter pod comprising a casing internally divided into two chambers by a carrier which supports at least one filter cartridge as claimed in claim 8,

the carrier and the at least one cartridge being arranged so that fluid can only pass from one chamber to the other by passing through both the hollow tubular core and the filter material of the at least one cartridge.

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- a base formed substantially of cellulose fibres onto which is adsorbed a composition comprising one or more aliphatic carboxylic acids having hydrocarbon chains consisting of 8 to 20 carbon atoms, wherein one or more cellulosic materials are mixed together with a powdered formulation of the one or more carboxylic acids.
- 11. A method according to claim 12, wherein the one or more cellulosic materials are selected from the group comprising wood chips and virgin pulp.
- 12. A method according to claim 10 or 11, wherein latex is added to the one or more cellulosic materials and the one or more carboxylic acids.
- 13. A method according to any one of claims 10 to 12, wherein mixing takes place in a hammer mill.
- 14. A method of cleaning a fluid by contacting the fluid with a material comprising a base formed substantially of cellulose fibres onto which is adsorbed a composition comprising one or more aliphatic carboxylic acids having hydrocarbon chains consisting of 8 to 20 carbon atoms, wherein one or more cellulosic materials are mixed together with a powdered formulation of the one or more carboxylic acids.
- 15. A method according to claim 14, wherein the fluid is air.
- 16. A method according to claim 15, wherein the fluid is water.
- 17. A filter cartridge comprising a container having a fluid input and a fluid cutput and including therebetween a quantity of the material of any one of claims 1 to 6.

Courtesy copy of the
International Application
as originally filed
with abstract

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#### LIQUID AND GAS PURIFICATION AND FILTRATION

The present invention relates to the removal of organic and other pollutants from liquids and gases, and in particular, but not exclusively, to the removal of such pollutants by a filtration system.

A number of strategies have been developed in the petrochemical industry for dealing with problems such as oil spillage and leakage, particularly at sea. Some methods, for example the use of detergents, simply aim to disperse the oil spillage as quickly as possible before too much damage has been done. It is, however, preferable to remove the oil from the water without allowing it to disperse, since there are many toxic components in the oil which may cause harm to the environment. It is known to provide a granular material based on cellulose, which has oil-absorbing properties, the material being in a form suitable for sprinkling onto an oil spillage. Once the oil has been absorbed, the material is gathered up and may be incinerated.

Oil spillages are not the only environmental problem faced by the petrochemical industry. There are many situations where it is desirable to remove components including organic pollutants (such as hydrocarbons) and heavy metal contaminants from produced water and water run-off before this water is released as effluent.

It is also desirable to remove such pollutants from liquids other than water and also from gases (e.g. air).

According to a first aspect of the present invention, there is provided a material comprising a base formed substantially of cellulose fibres onto which is adsorbed a composition comprising one or more

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aliphatic carboxylic acids having hydrocarbon chains consisting of 8 to 20 carbon atoms.

In preferred embodiments, the hydrocarbon chains of the one or more carboxylic acids consist of 10 to 18 carbon atoms. Particularly effective carboxylic acids have been found to include stearic acid  $CH_3(CH_2)_{16}COOH$  and palmitic acid  $CH_3(CH_2)_{14}COOH$ .

According to a second aspect of the present invention, there is provided a method of producing a material comprising a base formed substantially of cellulose fibres onto which is adsorbed a composition comprising one or more aliphatic carboxylic acids having hydrocarbon chains consisting of 8 to 20 carbon atoms, wherein one or more cellulosic materials are mixed together with a powdered formulation of the one or more carboxylic acids.

The material of the first aspect of the present invention may be formed by mixing together one or more cellulosic materials, for example virgin pulp and wood chips, together with the one or more carboxylic acids in powder form and, optionally, latex. The mixing is preferably undertaken in a hammer mill, in which heat and friction assist the process whereby the carboxylic acid becomes adsorbed onto the cellulose fibres. thought that the carboxylic acids are adsorbed onto the surface of the cellulose fibres by way of the carboxyl -COOH functional group, either through hydrogen bonding or through the formation of cellulose esters containing an -O-CO-R group formed with the hydroxyl -OH groups on the cellulose rings. the carboxylic acids are bonded to the cellulose fibres, the result is that the material of the first aspect of the present invention comprises cellulose fibres from which project hydrophobic hydrocarbon chains. When the material is applied to a mixture of water and hydrocarbon pollutants, the hydrophobic

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hydrocarbon tails of the carboxylic acid residues serve to attract the hydrocarbon pollutants to the material and to repel water, thereby providing the required separation. The material, incorporating the hydrocarbon pollutants, can then be gathered up and used as a fuelstock.

According to a third aspect of the present invention, there is provided a filter material comprising a matrix in which is dispersed a granular formulation of the material according to the first aspect of the present invention.

The matrix may be fabricated from a number of materials, including non-woven fibrous materials, opencell foam materials or a cotton or viscose gauze. unloaded matrix advantageously has a density not greater than 0.25gcm<sup>-3</sup>, and preferably from 0.01 to 0.18gcm<sup>-3</sup>. A particularly preferred matrix has a thickness of around 3mm and a density in the region of 0.lgcm<sup>-3</sup>. The granular formulation of the material of the first aspect of the present invention may be incorporated into the matrix by bombardment across a pressure gradient as described in EP 0 504 214, the disclosure of which is hereby incorporated by reference into the present application. By incorporating the material of the first aspect of the present invention into a matrix to form a filter material, the available active surface area is increased so as to aid efficiency. Furthermore, dispersion of the material in the contaminated fluid is reduced because it is held within the matrix. In some embodiments, webs of the filter matrix are loaded to a density of around lkgm<sup>-2</sup>; a density of .925kgm<sup>-2</sup> has been found to be particularly effective in certain circumstances. In other embodiments, a density of around 0.5kgm<sup>-2</sup> has been found to be effective, particularly where the web of filter matrix has a thickness in the region of 3 or 4mm.

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#### CLAIMS:

- 1. A material comprising a base formed substantially of cellulose fibres onto which is adsorbed a composition comprising one or more aliphatic carboxylic acids having hydrocarbon chains consisting of 8 to 20 carbon atoms.
- 2. A material as claimed in claim 1, wherein the one or more aliphatic carboxylic acids have hydrocarbon chains consisting of 10 to 18 carbon atoms.
- 3. A material as claimed in claim 1 or 2, wherein the one or more carboxylic acids are selected from the group comprising stearic acid and palmitic acid.
- 4. A filter material comprising a matrix in which is dispersed a granular formulation of the material claimed in claim 1, 2 or 3.
- 5. A filter material as claimed in claim 4, wherein the matrix comprises a non-woven fibrous material.
- 6. A filter material as claimed in claim 4, wherein the matrix comprises an open-cell foam materials.
- 7. A filter material as claimed in claim 4, wherein the matrix comprises a cotton or viscose gauze.
- 8. A filter column comprising a hollow core upon which is mounted an alternating stack of filter plates and discs of the filter material as claimed in any of claims 4 to 7, wherein the filter plates are adapted to allow passage of fluid from a circumferential region of the filter column to the hollow core by way of the discs of filter material.
- 9. A filter cartridge comprising a hollow core around which is wrapped one or more layers of a filter material as claimed in any one of claims 4 to 7.
- 10. A filter pod comprising a casing internally divided into two chambers by a carrier which supports

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at least one filter cartridge as claimed in claim 9, the carrier and the at least one cartridge being arranged so that fluid can only pass from one chamber to the other by passing through both the hollow tubular core and the filter material of the at least one cartridge.

- a base formed substantially of cellulose fibres onto which is adsorbed a composition comprising one or more aliphatic carboxylic acids having hydrocarbon chains consisting of 8 to 20 carbon atoms, wherein one or more cellulosic materials are mixed together with a powdered formulation of the one or more carboxylic acids.
- 12. A method according to claim 11, wherein the one or more cellulosic materials are selected from the group comprising wood chips and virgin pulp.
- 13. A method according to claim 11 or 12, wherein latex is added to the one or more cellulosic materials and the one or more carboxylic acids.
- 14. A method according to any one of claims 11 to 13, wherein mixing takes place in a hammer mill.
- 15. A method of cleaning a fluid by contacting the fluid with a material comprising a base formed substantially of cellulose fibres onto which is adsorbed a composition comprising one or more aliphatic carboxylic acids having hydrocarbon chains consisting of 8 to 20 carbon atoms, wherein one or more cellulosic materials are mixed together with a powdered formulation of the one or more carboxylic acids.
- 16. A method according to claim 15, wherein the fluid is air.
- 17. A method according to claim 15, wherein the fluid is water.
- 18. A filter cartridge comprising a container having a fluid input and a fluid cutput and including therebetween a quantity of the material of claim 1, 2 or 3.

#### PATENT COOPERATION TREATY

**PCT** 

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#### **INTERNATIONAL PRELIMINARY EXAMINATION REPORT**

(PCT Article 36 and Rule 70)

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Applicant's of HL54557/		t's file reference	FOR FURTHER ACTION		Notification of Transmittal of International iminary Examination Report (PCT/IPEA/416)	
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3. This re	port c	ontains indications rela	ating to the following items:			
1	⊠	Basis of the report				
11		Priority				
111		Non-establishment o	f opinion with regard to novelty, inver	ntive st	ep and industrial applicability	
IV		Lack of unity of inver	ntion			
V	×	Reasoned statement	under Article 35(2) with regard to no	velty, i	nventive step or industrial applicability;	
		citations and explana	ations supporting such statement			
VI	VI   Certain documents cited					
VII		Certain defects in the international application				
VIII		Certain observations	on the international application			
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## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/GB97/01319

<ol> <li>Basis of t</li> </ol>	the report
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1. This report has been drawn on the basis of (substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.):

Description, page	es:			
4-20	as originally filed			
1-3	as received on	20/07/1998	with letter of	17/07/1998
Claims, No.:				·
1-17	as received on	20/07/1998	with letter of	17/07/1998
Drawings, sheets	:			
1-9	as originally filed			
The amendments h	nave resulted in the cancellat	tion of:		
☐ the description	ı, pages:			
☐ the claims,	Nos.:			
☐ the drawings,	sheets:			
☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):				
Additional observat	tions, if necessary:			

#### INTERNATIONAL PRELIMINARY **EXAMINATION REPORT**

International application No. PCT/GB97/01319

- V. R asoned statem nt under Article 35(2) with r gard to nov Ity, inventive st p or industrial applicability; citations and explanations supporting such statement
- 1. Statement

Novelty (N)

Yes:

Claims 4-17

No:

Claims 1-3

Inventive step (IS)

Yes: Claims

No:

Claims 1-17

Industrial applicability (IA)

Yes:

Claims 1-17

Claims No:

2. Citations and explanations

see separate sheet

#### **EXAMINATION REPORT - SEPARATE SHEET**

DE-A-2 358 808 (D1) discloses a filter material (a material which is placed in a 1. container and serves for filtering out contaminants from a fluid which is passed through the container is nothing else than a filter material; see D1, page 6, lines 9-13) comprising a matrix (Chambers English Dictionary: matrix = "that in which anything is embedded", eg a container) in which is dispersed a granular formulation of a material (according to eg claim 1 of D1 the modified cellulose mass is formed into small sized particles ["kleinteilig"]; it does not seem that there is a difference between "small sized particles" and a "granular formulation") comprising a base formed substantially of cellulose fibres onto which is adsorbed a composition comprising one or more aliphatic carboxylic acids having hydrocarbon chains consisting of 8 to 20 carbon atoms (see (D1), claim 1 and p.5, lines 1-5 and Example 16).

Claim 1 thus seems to lack novelty (Art.33(2) PCT).

However, even if a difference could be seen between the small sized particles of D1 and the "granular formulation" of claim 1, this difference would not be based on an inventive step under Art.33(3) PCT.

With regard to the handling and to the fluidic conditions (especially with regard to the pressure drop) the pelletization of filtering materials which are placed in a container and the filter activity of which is due to the adhesion of the components to be filtered (adsorption, absorption) to the surface of this material is usual. It is, therefore, evident for the skilled person to form pellets or granules from such a mass, if it has to be placed as a filtering fixed bed into a container.

- 2. The subject-matter of Independent method claim 10 differs from the teaching of (D1) on account only of the fact that the carboxylic acid is in powder form. In the absence, however, of any surprising effect (the advantages and disadvantages linked with the deposition of the carboxylic acid in dissolved form and in powder form, respectively, are well known in the art and consequently not surprising) attaching to this step, it does not represent an inventive advance over the process of (D1). Claim 11 thus lacks inventive step (Art.33(3) PCT). Analogous arguments apply to the subject-matter of independent method (use)
  - claim 15.
- 3. With regard to document (D1) and the general knowledge of the man skilled in the art, the dependent claims do not appear to contain any features which, in combi-

## INTERNATIONAL PRELIMINARY International application No. PCT/GB97/01319 **EXAMINATION REPORT - SEPARATE SHEET**

nation with the features of any claim to which they refer, meet the requirements of the PCT in respect of novelty or inventive step.